Overview of the *Integrated Reentry and Employment Strategies* White Paper and Pilot Site Opportunity

Phoebe Potter

Senior Policy Analyst, CSG Justice Center

Dr. Gary Dennis

Senior Policy Advisor for Corrections, Bureau of Justice Assistance, U.S. Department of Justice

Tuesday, July 15, 2014





The Council of State Governments Justice Center

- National non-profit, non-partisan membership association of state government officials
- Engages members of all three branches of state government
- Justice Center provides practical, nonpartisan advice informed by the best available evidence



The National Reentry Resource Center

 The NRRC is a project of the CSG Justice Center and is supported by the Bureau of Justice Assistance.



- NRRC staff have worked with nearly 600 SCA grantees, including 40 state corrections agencies.
- The NRRC provides individualized, intensive, and targeted technical assistance, training, and distance learning to support SCA grantees.

Please register for the monthly NRRC newsletter at:

http://csgjusticecenter.org/subscribe/

Questions about today's webinar? Contact Phoebe Potter: ppotter@csg.org or (240) 482-8587

www.nationalreentryresourcecenter.org

Reentry and Employment White Paper

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Application Process

What's Next?

The Reentry and Employment Project



- Public-private partnership with the Annie E. Casey Foundation and U.S. Department of Justice
- Supported by the U.S.
 Department of Labor
- Purpose is to bridge and integrate best practices from the reentry, corrections, and workforce development fields

National Events Supported by the Reentry and Employment Project

September 26, 2013: Release of the white paper at U.S. Department of Labor

June 30, 2014: Pathways to Prosperity event at White House



U.S. Secretary of Labor Thomas E. Perez and Secretary John Wetzel, PA Department of Corrections



U.S. Congressman Danny Davis (7th District of Illinois)

Scope of the Reentry Challenge: Public Safety Impacts

1 in **33*** American adults is under correctional control



Over **90%** of individuals in jail/prison return to the community, and:

68% of state prisoners are rearrested in 3 years

50% of state prisoner are reincarcerated in 3 years

Source: Pew Center on the States (2012); Bureau of Justice Statistics (2014)

Scope of the Reentry Challenge: Employment Impacts



For men, serving time reduces: hourly wages by **11 percent**, annual employment by **9 weeks**, and annual earnings by **40 percent**.

2.7 million children (1 in 28) have a parent behind bars.

Family income is reduced **22 percent** while a father is incarcerated.

Source: The Pew Charitable Trusts (2010) "Collateral Costs: Incarceration's Effect on Economic Mobility"

How Do We Break the Cycle?



For this particularly disadvantaged population, job placements alone will not be sufficient – need an integrated approach that addresses individuals' unique needs

How Do We Get There? Make the Most of Limited Time and Resources



Workforce Development

Job readiness assessment

Address skill deficits that impact employment

Case management and wraparound support



Corrections & Reentry

Risk/need assessment

Address needs that lead to reoffending

Case management and wraparound support

How Do We Get There? Make the Most of Limited Time and Resources



One Client, One Plan

Integrated risk/need and job readiness assessment

Integrated treatment of risk and employment needs

Coordinated case plan

The Integrated Reentry and Employment Strategies White Paper



The Resource-Allocation and Service-Matching Tool



The Resource-Allocation and Service-Matching Tool

Assess Individuals Risk/Need and Job Readiness



Assessing for Criminogenic Risk/Need

Dynamic Predictors of Recidivism (common among higher-risk individuals)⁴

- Presence of Antisocial Behavior
- Antisocial Personality Pattern
- Antisocial Cognition
- Antisocial Associates

- The "Big 4" Risk Factors
- Poor Family and/or Marital Relationships
- Low levels of performance and satisfaction in work/school
- Lack of pro-social leisure activities
- Substance Abuse

Why Assess for Criminogenic Risk/Need?

It tells us who will benefit from intensive services



Why Should **Workforce Development Professionals** Care about Criminogenic Needs?

The "Big 4" criminogenic needs affect one's ability to find and retain gainful employment

- Attitudes on the job
- Valuation of work
- Lacking self-control/self-regulation
- Having poor problem-solving or coping skills
- Having skills (soft or hard) to succeed on the job

Takeaway: you need to address the top 4 risk factors to see improvements in employment outcomes

Why Should **Reentry Professionals** Care about Job Readiness Factors?

Gainful employment supports reentry – need to know what job readiness factors to address to prepare individuals for work.

	iness/ / Factors ⁵
Dynamic Predictors of Recidivism	21
(common among higher-risk individuals) ⁴	kills
Presence of Antisocial Behavior	•
Antisocial Personality Pattern The "Big 4" Risk	ience
Antisocial Cognition Factors	ills″
Antisocial Associates	+ · · ·
Poor Family and/or Marital Relationships	about work
Low levels of performance and satisfaction in work/scho	tions about work
Lack of pro-social leisure activities	
Substance Abuse	oemployment

The Resource-Allocation and Service-Matching Tool

Use Assessments to Match Clients to Appropriate Services



Responding to Varying Levels of Job Readiness

Workforce Development Program Components "what" services are provided based primarily on job-readiness

More Job-Ready	Less Job-Ready
Primary Focus: Finding and Retaining Employment Non-transitional Subsidized Employment	Primary Focus: Promoting Job Readiness • Education and Training
 Job Development and Coaching Retention and Advancement Services Financial Work Incentives 	 Soft-/Cognitive-Skill Development Transitional Job Placements Non-skill-Related Interventions

Accounting for Criminogenic Risk/Need

Service Delivery Principles

"how" services are provided based primarily on risk

Lower Risk

Higher Risk

- For the most part, treat like any other client
- Do NOT benefit from specialized, intensive services
- May still need special assistance with disclosure, finding jobs without legal barriers, etc.

- Need to address the "Big 4" criminogenic needs (antisocial personality pattern, attitudes, cognitions, peers)
- Requires use of cognitivebehavioral interventions and intensive, structured engagement

Client-Matching: System-Level Approach



Key to success: need a wide range of providers in community that provide unique service packages

Client-Matching: Program-Level Approach



Service track for higherrisk, less-job-ready clients

Se m

Service track for higher-risk, more-job-ready clients

Service track for lower-risk, less-job-ready clients

Service track for lower-risk, more-job-ready clients

Key to success: providers must have enough capacity and manage flow of clients in a way that contact between low-risk and highrisk clients is minimized

Client-Matching: Hybrid Approach



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What's Next?

The Pilot Project: What is it?

3-year effort to implement the Resource-Allocation and Service-Matching Tool in a local jurisdiction and evaluate its impact

Intended outcome is to improve recidivism and employment outcomes among target population

Selected sites will receive intensive technical assistance from the CSG Justice Center to help reform policies and build program capacity

Supported by the U.S. Department of Justice and the Annie E. Casey Foundation, with guidance from the U.S. Department of Labor

Solicitations are due to the CSG Justice Center on August 15th at 11:59 PM

The Pilot Project: Informing the Field

Leader on integrating best practices across workforce development and corrections fields

Be on the cutting edge of innovation

Learning site for the field and inform national policy on reentry and employment

Shape the National Discussion

The Pilot Project: Basic Information

Eligibility Requirements	 Open to any state, county, or municipal jurisdiction State could apply with a target site in mind (e.g. county or city) Corrections agency with supervising authority must be lead applicant
Target Population	 Individuals returning from prison or jail to the pilot jurisdiction during pilot period Must be under correctional supervision in the community Specific number of individuals served driven by size and programming capacity of selected jurisdiction
Program Length	 Up to three years (includes 1 year of planning, 1 year of implementation, 1 year of evaluation) Must meet annual milestones to move to next phase

The Pilot Project: Program Structure

Year 1

- Convening
- Data Analysis
- Planning and Capacity-Building

Year 2

- Implementation
- Process Evaluation



Year 1: The Planning Year

2 Months

• Establish Steering Committee to work with technical assistance provider

3 Months

• Data analysis to develop distinct risk/readiness groupings

3 Months

 Conduct a field review inventorying available services

4 Months

• Develop strategic plan to implement client matching process; build service capacity

See page 8 of the solicitation for additional information

Client-Matching in NYS: A Case Study



Right People – Right Services – Right Time

• Who is coming back to the community?

• What services are available to meet their unique needs?

Data Analysis on Needs of Target Population

Employment readiness of parolees by risk level (based on risk/need assessments completed in CY 2012)



Review of Community Programs

Intensity of Employment Program Services



Pilot Site Milestone 1



Year 2: The Implementation Year

4 Months

 Implement efficient risk/job readiness assessment and referral process

4 Months

 Develop tailored service packages to meet client-group needs

4 Months

 Conduct process evaluation to ensure proper implementation

See page 8 of the solicitation for additional information

NYS Client-Matching Pilot



Assessment

- DOCCS assesses individuals 4-6 months prior to release with COMPAS risk/needs reentry assessment
- Low-risk individuals are assigned to low-intensity parole supervision
- High-risk individuals are assigned to high-intensity parole supervision
- Assessment information is provided to parole officers before individuals' release



Referral

- For low-risk clients in the pilot, parole officers consider additional job readiness factors, and if the individual is deemed suitable, they are referred to the targeted Career Centers, operated by the State DOL
- For high-risk clients in the pilot, parole officers refer individual to the Center for Employment Opportunities (CEO). CEO staff also meet with the individual to educate them about the program

An Example of Programming for Higher-Risk Clients: The Center for Employment Opportunities (CEO)

- Provide transitional job placements that provide work experience and structured setting
- Use a small work crew model that provides high levels of engagement
- Emphasis on soft-skill development and addressing anti-social attitudes
- Financial incentives of "pay each day" model
- Enroll people as soon after release as possible and minimize wait times
- Regular assessments on progress and job readiness are used to determine when client receives job development/coaching services
CEO Evaluation: Recidivism Outcomes by Risk Level*

Differences in reconviction rates between program participants and control group (years 1 and 2)[†]



Source: Zweig, Yahner, and Redcross, "Recidivism Effects of the Center for Employment Opportunities (CEO) Program Vary by Former Prisoners' Risk of Reoffending" (2010)

Pilot Site Milestone 2



Demonstrate ability to implement changes with fidelity, including recommended programmatic adjustments

Track necessary client-level data and establish procedures for sharing, matching, and using data

Justice Center will help identify funding for third-party evaluation outcomes

Year 3: The Analysis Year

2 Months

 Identify a valid matched comparison group with comparable data

6 Months

 Collect client-level data for the target and comparison groups

4 Months

 Evaluate impact of policy/practice changes on recidivism and employment outcomes

See page 8 of the solicitation for additional information

The Pilot Project: Putting it all Together

See page 8 of the solicitation for full details on pilot site activities

	Technical Assistance Activities and Milestones	Suggested Time to Complete Activities
1.	Establish a steering committee to work alongside the technical assistance provider to support implementation of the pilot project.	2 months
2.	Conduct a system-mapping exercise that details the current assessment and referral process, and analyze data on the risk and job readiness characteristics of the target population. Use assessment data to disaggregate target population by risk/needs and job readiness levels and develop four distinct risk/readiness groupings in line with the sorting tool in the <i>Integrated Strategies</i> white paper.	3 months
3.	Conduct a field review that inventories employment and reentry services available or commonly provided to the target population, with a focus on their capacity for meeting the needs of higher-risk/less- job-ready individuals.	3 months
4.	Use data to develop a strategic plan for implementing a client assessment and matching process and building service capacity to meet needs of clients.	4 months
Milestone 1: Before moving on to TA activities 5-7, the site must demonstrate its ability to implement an efficient risk/job-readiness assessment and referral process in partnership with corrections to match clients to existing reentry/employment services.		

If the existing services do not meet the needs of a higher-risk/less-job ready population, the site will also be expected to reallocate resources to develop intensive services.

Total Time:	36 months	
outcomes for participants and comparison group.		
6. Evaluate impact of policy/practice changes on recidivism (rearrest/reincarceration) and employment	4 months	
comparison groups as needed for the evaluation.		
5. Collect client-level data for the target and	6 months	
 Identify a valid matched comparison group that has comparable data. 	2 months	
outcomes.	1	
The CSG Justice Center will help identify funding for a third-party evaluation of		
matching and using data for evaluation purposes.		
tracking necessary client-level data and establishing procedures for sharing,		
The site must also demonstrate that it is able to participate in an evaluation by		
evaluation.		
programmatic adjustments that are recommended as a result of the process		
implement policy/programmatic changes with fidelity, including making any		
Before moving on to TA activities 8-10, the site must dem	onstrate its ability to	
Milestone 2:		
are being implemented with fidelity.		
 Conduct a process evaluation to ensure steps 5 and 6 	4 months	
ensure that service providers are meeting needs of the four client groups.		
network of providers or within larger agencies) to		
 Develop tailored service packages (either through a 	4 months	
match the four client group profiles to the appropriate reentry/employment services.		
and referral process in partnership with corrections to		
1. Implement an efficient risk/job readiness assessment	4 months	

The Pilot Project: Funding

WE ARE NOT

 Funding a new program or expansion of existing programs

We ARE

- Developing policies and procedures
- Leveraging existing resources
- Building program capacity

See page 2 of the solicitation for additional information

The Pilot Project: Technical Assistance

Lead TA Provider: Council of State Governments Justice Center

Policy	 Intensive technical assistance around the development of
Guidance	the client-matching system
Data	 Data analyses of target population and program reviews
Analysis	conducted to inform the client-matching system
Training	 National experts will be brought on site to conduct trainings to build local expertise and program capacity
Evaluation	 Third-party evaluator will conduct process and impact evaluation

Technical Assistance Support to Pilot Sites

NIC training will be made available for corrections agencies and community providers in the pilot sites

OFFENDER EMPLOYMENT RETENTION

Principles and Practices



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What's Next?

Application Process: Who Can Apply?

- Open to state, county, and municipal jurisdictions
- Lead applicants must include:
 - Corrections agency with releasing and supervision authority over the target population
 - A community-based workforce partner that provides services for the reentry population (government agency or NGO)
- Demonstrate support of the chief executive of the targeted jurisdiction (e.g. governor, mayor)

See page 4 of the solicitation for additional information

Application Process: How do you Apply?



See Section IV of the solicitation for additional information

Letter of Interest: Procedural Requirements



See Section IV, Part A of the solicitation for additional information

Letter of Interest: Substantive Requirements



Substantive Requirements

See Sections IV and VII of the solicitation for additional information

Substantive Requirements: White Paper Implementation Capability

Demonstrated Leadership	Demonstrated commitment of Chief Executive of Jurisdiction and other policymakers to the project	
Demonstrated	Established partnerships among providers and corrections agencies	
Partnerships	Attach the letters of support (1 page per letter)	
Data Collection / Sharing Capacity	Able and willing to collect and share accurate and complete data on target population	
Risk Assessment	Demonstrated ability to assess individuals' criminogenic risk	
Capacity	Attach a copy of the tool and completed validation studies	
Job Readiness	Site has the potential or ability to assess individuals' level of job readiness	
Assessment Capacity	Attach a copy of any current job readiness tool used in site, if available	
Assessment - Driven Service Matching	Commitment to apply the results of individuals' assessments to develop personal service packages for the target population	
Service Capacity	Continuum of community-based employment services Attach a list and brief description of programs available/ # served	

Substantive Requirements: Evaluation Support



See Section VII Part B of the solicitation for additional information

Substantive Requirements: Letters of Support

Basic Letters of Support Stand-Out Letters of Support

Community-based Partners

Criminal Justice Agencies (supervision authority over population) Chief Executive of state/ city/county

E.D / Workforce Board Chair at Local Workforce Investment Boards (WIB)

Additional communitybased organizations

"Basic Letters of Support"

Letter of Interest: Priority Consideration



Priority Consideration will be given if a letter of support is from a recipient of an active:

- Bureau of Justice Assistance Second Chance Act (SCA) Grant
- Department of Labor Reintegration of Ex-Offender (RExO) Grant

Demonstrate how this project will be enhanced by your SCA and/or Rexo grant

See page 4 of the solicitation for additional information

Letter of Interest: Example Structure

1 Page

• Why your jurisdiction is interested

≈5 Pages

• How your jurisdiction meets the criteria

≈4 Pages

 Overview of your jurisdictions capacity and willingness to support technical assistance activities





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Application Process

What's Next?

SUBMISSION DEADLINE:

August 15th, 11:59 PM EST

Submit completed applications to:

Phoebe Potter ppotter@csg.org

What's Next: The Selection Process

Identify Subset of Sites as Finalists

• Based on completed Letter of Interest packet

Follow up calls/on-site visits

• To make sure the necessary resources are available

Identify limited number of best qualified sites

 "Best Qualified" = greatest capacity to implement recommendations from the Integrated Strategies White Paper

Make final selection in partnership with:

- US Department of Justice's Bureau of Justice Assistance
- US Department of Labor Employment and Training Administration
- Annie E. Casey Foundation

Questions?



Contacts:

Phoebe Potter Senior Policy Analyst CSG Justice Center 240-482-8587

ppotter@csg.org

Angela Tolosa Deputy Program Director CSG Justice Center

> 646-383-5744 <u>atolosa@csg.org</u>